

To: U.S. Department of Commerce
Patent and Trademark Office

Atty.

Dkt. No.
Bollman#
62-575

Client Ref.

**INFORMATION DISCLOSURE STATEMENT
BY APPLICANT**

Applicant: LIN

Appl. No.: 09/084,441

Filing Date: May 27, 1998

Date: June 25, 1998

Page 1 of 7

Examiner: unknown

Group Art Unit: 3731

U.S. PATENT DOCUMENTS

Examiner's Initials*		Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	SubClass	Filing Date (if appropriate)
MP	AR	3,697,889	10/1972	Dewey, Jr.			
MP	BR	3,743,965	7/1973	Offner			
MP	CR	3,848,104	11/1974	Locke			
MP	DR	3,938,058	2/1976	Yamamoto			
MP	ER	3,982,541	9/1976	L'Esperance, Jr.			
MP	FR	3,983,507	9/1976	Tang et al.			
MP	GR	4,180,751	12/1979	Ammann			
MP	HR	4,349,907	9/1982	Campillo et al.			
MP	IR	4,386,428	5/1983	Baer			
MP	JR	4,477,159	10/1984	Mizuno et al.			
MP	KR	4,520,816	6/1985	Schachar et al.			
MP	LR	4,538,608	9/1985	L'Esperance, Jr.			
MP	MR	4,573,467	3/1986	Rich et al.			
MP	NR	4,580,559	4/1986	L'Esperance, Jr.			

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								Enclose	No	Enclosed	No
MP	OR	0 151869 A1	8/1985	Europe	L'Ezperance						
MP	PR	0368512A2	5/1990	Europe	Freiberg						
MP	QR	0418890A3	3/1991	Europe	Itani						
	RR										
	SR										
	TR										
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MP	WR	Qiushi Ren, Raymond P. Galitis, Keith P. Thompson, & J.T. Lin, "Ablation of the Cornea and Synthetic Polymers Using a UV (213 nm) Solid State Laser", IEEE Journal of Quatum Electronics, 12/1990, pp.2284-2288.									
MP	XR	Conference on Lasers and Electro-Optics, Optical Society of America, 5/1990, pp. 28-30.									
MP	YR	G.P.A. Malcom, M.A. Persaud, & A.I. Ferguson, "Resonant Frequency Quadrupling of a Mode - Locked Diode - Pumped Nd: YLF Laser", Optics Letters, 7/1991, pp. 983-985.									
MP	ZR	J.T. Lin, J.L. Montgomery, "Temperature - Tuned Noncritically Phase - Matched Frequency Conversion in LiB ₃ O ₅ Crystal", Optics Communications, 12/1990, pp. 159-165.									
MP	AAR	A.A. Babin, F.I. Fel'dshtein, & I.V. Yakovlev, "Generation of the Fifth Harmonic of Yttrium Orthoaluminate: Nd ³⁺ Laser Radiation in KDP at Room Temperatures", Soviet Technical Physics Letters, 6/1990, pp. 417-418.									
MP	BBR	V.D. Volosov & E.V. Nilov, "Effect of the Spatial Structure of a Laser Beam on the Generation of the Second Harmonic in ADP and KDP Crystals", UDC, 11/1965, pp. 715-719.									

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MP	AR	4,633,866	1/1987	Peyman et al.			
MP	BR	4,665,913	5/1987	L'Esperance, Jr.			
MP	CR	4,669,466	6/1987	L'Esperance, Jr.			
MP	DR	4,718,418	1/1988	L'Esperance, Jr.			
MP	ER	4,721,379	1/1988	L'Esperance, Jr.			
MP	FR	4,729,372	3/1988	L'Esperance, Jr.			
MP	GR	4,729,373	3/1988	Peyman			
MP	HR	4,732,148	3/1988	L'Esperance, Jr.			
MP	IR	4,764,930	8/1988	Bille et al.			
MP	JR	4,773,414	9/1988	L'Esperance, Jr.			
MP	KR	4,784,135	11/1988	Blum et al.			
MP	LR	4,798,204	1/1989	L'Esperance, Jr.			
MP	MR	4,838,266	6/1989	Koziol et al.			
MP	NR	4,840,175	6/1989	Peyman			

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MP	WR	A.G. Arutyunyan, G.G. Gurzadyan, & R.K. Ispiryan, "Generation of the Fifth Harmonic of Picosecond Yttrium Aluminate Laser Radiation", Soviet Journal Quantum Electron, 12/1989, pp. 1602-1603.				
MP	XR	Shinichi Imai, Toshitaka Yamada, Yasutomo Fujimori & Ken Ishikawa, "Third - Harmonic Generation of an Alexandrite Laser in β -BaB ₂ O ₄ ", Applied Physics Letters, 5/1989, pp. 1206-1208.				
MP	YR	Conference on Lasers and Electro-Optics, Optical Society of America, April 1989, p. 390.				
	ZR					
	AAR					
	BBR					

Examiner

M. Peffley

Date Considered:

9/29/97

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MP	AR	4,848,340	7/1989	Bille et al.			
MP	BR	4,856,513	8/1989	Muller			
MP	CR	4,862,886	9/1989	Clarke et al.			
MP	DR	4,903,695	2/1990	Warner et al.			
MP	ER	4,911,711	3/1990	Telfair et al.			
MP	FR	4,925,523	5/1990	Braren et al.			
MP	GR	4,941,093	7/1990	Marshall et al.			
MP	HR	4,975,918	12/1990	Morton			
MP	IR	4,994,058	2/1991	Raven et al.			
MP	JR	5,019,074	5/1991	Muller			
MP	KR	5,052,004	9/1991	Gratze et al.			
MP	LR	5,065,046	11/1991	Guyer			
MP	MR	5,074,859	12/1991	Koziol			
MP	NR	5,108,412	4/1992	Krumeich et al.			

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MP	AR	5,108,388	4/1992	Trokel			
MP	BR	5,144,630	9/1992	Lin			
MP	CR	5,163,934	11/1992	Munnerlyn			
MP	DR	5,163,936	11/1992	Black et al.			
MP	ER	5,182,759	1/1993	Anthon et al.			
MP	FR	5,188,631	2/1993	L'Esperance, Jr.			
MP	GR	5,196,006	3/1993	Klopotek et al.			
MP	HR	5,207,668	5/1993	L'Esperance, Jr.			
MP	IR	5,217,452	6/1993	O'Donnell			
MP	JR	5,219,343	6/1993	L'Esperance, Jr.			
MP	KR	5,219,344	6/1993	Yoder, Jr.			
MP	LR	5,226,903	7/1993	Mizuno			
MP	MR	5,257,988	11/1993	L'Esperance, Jr.			
MP	NR	5,263,950	11/1993	L'Esperance, Jr.			

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MP	AR	5,284,477	2/1994	Hanna et al.			
MP	BR	5,312,320	5/1994	L'Esperance, Jr			
MP	CR	5,324,281	6/1994	Muller			
MP	DR	5,334,190	8/1994	Seiler			
MP	ER	5,353,262	10/1994	Yakymyshyn et al.			
MP	FR	5,360,424	11/1994	Klopotek			
MP	GR	5,363,388	11/1994	Shi et al.			
MP	HR	5,364,388	11/1994	Koziol			
MP	IR	5,370,641	12/1994	O'Donnell, Jr.			
MP	JR	5,395,356	3/1995	King et al.			
MP	KR	5,395,356 <i>Sach</i>	3/1995	King et al.			
MP	LR	5,395,362	3/1995	Sacharoff et al.			
MP	MR	5,405,355	4/1995	Peyman et al.			
MP	NR	5,411,501	5/1995	Klopotek			

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MP	AR	5,423,801	6/1995	Marshall et al.			
MP	BR	5,425,727	6/1995	Koziol			
MP	CR	5,425,729	6/1995	Ishida et al.			
MP	DR	5,437,658	8/1995	Muller et al.			
MP	ER	5,442,487	8/1995	Mizuno			
MP	FR	5,445,633	8/1995	Nakamura et al.			
MP	GR	5,461,212	10/1995	Seiler et al.			
MP	HR	5,470,329	11/1995	Sumiya			
MP	IR	5,480,396	1/1996	Simon et al.			
MP	JR	5,505,723	4/1996	Muller			
MP	KR	5,507,741	4/1996	L'Esperance, Jr.			
MP	LR	5,507,799	4/1996	Sumiya			
MP	MR	5,549,597	8/1996	Shimmick et al.			
MP	NR	5,556,395	9/1996	Shimmick et al.			

FOREIGN PATENT DOCUMENTS

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Examiner's Initials*					Class	SubClass	Filing Date (if appropriate)
MP	AR	5,599,340	2/1997	Simon et al.	1	1	
MP	BR	5,613,965	3/1997	Muller	1	1	
MP	CR	5,624,436	4/1997	Nakamura et al.	1	1	
MP	DR	5,637,109	6/1997	Sumiya	1	1	
MP	ER	5,646,791	7/1997	Glockler	1	1	
MP	FR	5,651,784	7/1997	Klopotek	1	1	
MP	GR	5,683,379	11/1997	Hohla	1	1	
MP	HR	5,711,762	1/1998	Trokell	1	1	
MP	IR	5,713,892	2/1998	Shimmick	1	1	
MP	JR	5,735,843	4/1998	Trokell	1	1	
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FOREIGN PATENT DOCUMENTS

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	WR										
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M. Pelly

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Examiner: Unknown

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U.S. PATENT DOCUMENTS

Examiner's Initials*		Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	SubClass	Filing Date (if appropriate)
MP	AR	2,480,737	8/1949	Jayle			
	BR	3,074,407	1/1963	Moon			
	CR	3,476,112	11/1969	Elstein			
	DR	4,169,663	10/1979	Murr			
	ER	4,423,728	1/1984	Lieberman			
	FR	4,461,294	7/1984	Baron			
	GR	4,526,171	7/1985	Schachar			
	HR	4,546,773	10/1985	Kremer et al.			
	IR	4,598,714	7/1986	Kremer et al.			
	JR	4,619,259	10/1986	Graybill et al.			
	KR	4,653,495	5/1987	Nanaumi			
	LR	4,662,370	5/1987	Hoffman et al.			
	MR	4,688,570	8/1987	Kramer et al.			
MP	NR	4,720,189	1/1988	Heyman et al.			

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MP	OR	0151869B1	1/1990	Europe	L'Esperance						
MP	PR	0207648B1	8/1990	Europe	L'Esperance						
MP	QR	0296982A1	6/1988	Europe	Hanna						
MP	RR	0602756A1	6/1994	Europe	Parel						
MP	SR	1243732	10/1984	Canada	L'Esperance						
MP	TR	PCT/ER87/00139	11/1987	PCT	Lepage						
MP	UR	PCT/US92/09625	5/1993	PCT	Lai						
MP	VR	PCT/US93/00327	8/1993	PCT	Cozean et al.						

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MP	XR	Burnett, "Company Denies Delay in Approval for Laser", Orlando Sentinel, 2/1993, pp. 12-13.				
MP	YR	Burnett, "Medical Technology", Orlando Sentinel, 2/1993, pp. 1-5.				
MP	ZR	Gailitis et al., "Solid State Ultraviolet Laser (213 nm) Ablation of the Cornea and Synthetic Collagen Lenticules", Lasers in Surgery and Medicine, 12/1991, pp. 556-562.				
MP	AAR	Gartry et al., "Excimer Laser Photorefractive Keratectomy", Ophthalmology, 8/1992, pp. 1210-1219.				
MP	BBR	Gilbert, "Corneal Topography: In Search of the Excimer Islands", Eye Care Technolgy, 10/1993, pp. 23-28.				

Examiner

M. Piffly

Date Considered:

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MP	AR	4,770,172	9/1988	L'Esperance			
	BR	4,807,623	2/1989	Lieberman			
	CR	4,838,679	6/1989	Bille			
	DR	4,896,015	1/1990	Taboada et al.			
	ER	4,907,586	3/1990	Bille et al.			
	FR	4,968,130	11/1990	Hideshima et al.			
	GR	4,993,826	2/1991	Yoder			
	HR	5,063,942	11/1991	Kilmer et al.			
	IR	5,102,409	4/1992	Balgorod			
	JR	5,108,388	4/1992	Trokel			
	KR	5,133,726	7/1992	Ruiz et al.			
	LR	5,222,960	6/1993	Poley			
	MR	5,250,062	10/1993	Hanna			
WMP	NR	5,288,292	2/1994	Giraud et al.			

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MP	OR	PCT/US94/02007	9/1994	PCT	Knopp et al.						
MP	PR	PCT/EP95/01287	10/1995	PCT	Hohla et al.						
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MP	XR	Lin et al, "Corneal Topography Following Excimer Photorefractive Kerectomy for Myopia", Journal of Cataract Refractive Surgery, 1993, pp. 149-154.				
MP	YR	Lin et al, "A Multiwavelength Solid State Laser for Ophthalmic Applications", Ophthalmic Technologies, 6/1992, pp. 266-275.				
MP	ZR	Marguerite B. McDonald et al, "Central Photorefractive Keratectomy for Myopia", Ophthalmology, 9/1991, pp. 1327-1337.				
MP	AAR	Marshall et al, "Long-term Healing of the Central Cornea after Photorefractive Keratectomy Using an Excimer Laser", 10/1998, pp. 1411-1421.				
MP	BBR	Marshall et al, "Photoablative Reprofileing of the Cornea Using an Excimer Laser: Photorefractive Keratectomy", Lasers in Ophthalmology, 1/1986, pp. 21-48.				

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M. Jeffery

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MP	AR	5,290,301	3/1994	Lieberman			
	BR	5,336,217	8/1994	Buys et al.			
	CR	5,345,534	9/1994	Najm et al.			
	DR	5,349,590	9/1994	Amirkhanian et al.			
	ER	5,350,374	9/1994	Smith			
	FR	5,441,511	8/1995	Hanna			
	GR	5,474,548	12/1995	Knopp et al.			
	HR	5,520,679	5/1996	Lin			
	IR	5,582,752	12/1996	Zair			
	JR	5,599,340	2/1997	Simon et al.			
	KR	5,634,920	6/1997	Hohla			
	LR	5,684,562	11/1997	Fujieda			
	MR	5,782,822	7/1998	Telfair et al.			
MP	NR	5,849,006	12/1998	Frey et al.			

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MP	XR	Palikaris et al, "Excimer Laser in Situ Keratomileusis and Photorefractive Keratectomy for Correction of High Myopia", Journal of Refractive and Corneal Surgery, 9/1994, pp. 498-510.				
MP	YR	Ren et al, "Corneal Refractive Surgery Using an Ultra-Violet (213nm) Solid State Laser" Ophthalmic Technologies, 6/1991, pp. 129-139.				
MP	ZR	Rozakis, "Refractive Lamellar Keratoplasty" History of Keratomileusis, 1994, Chapt. 1-13.				
MP	AAR	Seiler et al, "Excimer Laser (193nm) Myopic Keratomileusis in Sighted and Blind Human Eyes" Refractive and Corneal Laser Surgery, 6/1990, pp. 165-173.				
MP	BBR	Serdarevic, "Corneal Laser Surgery", Ophthalmic Lasers, 1989, pp. 919-970.				

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Examiner's Initials*					Class	SubClass	Filing Date (if appropriate)
MP	AR	5,865,830	2/1999	Parel et al.			
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		Document Number	Date MM/YYYY	Country	Inventor Name	Class	SubClass s	English		Translation	
								Enclosed	No	Enclosed	No
	QR										
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MP	WR	Steinert et al, "Laser Corneal Surgery", Laser Research Laboratory, 1998, pp. 151-154.				
MP	XR	Thompson et al, "Philosophy and Technique for Excimer Laser Phototherapeutic Keratectomy", Refractive and Corneal Surgery, 4/1993, pp. 81-85.				
MP	YR	Trokel et al "Excimer Laser Surgery of the Cornea", American Journal of Ophthalmology, 12/1983, pp. 710-715.				
MP	ZR	Trockel et al, "Evolution of Excimer Laser Corneal Surgery", 7/1989, pp. 373-381.				
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Examiner M. Pfeffer

Date Considered: 9/29/99

*EXAMINER: Initial citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant

**INFORMATION DISCLOSURE STATEMENT
BY APPLICANT**

Applicant: LIN

Appln. No.: 09/084,441

Filing Date: May 27, 1998

Examiner: unknown

Group Art Unit 3731

Date: May 11, 1999

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FOREIGN PATENT DOCUMENTS

	Document Number	Date MM/YYYY	Country	Inventor Name	Class	SubClass	English		Translation	
							Enclose	No	Enclosed	No
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NP	WR	LaserSight Press Release of November 16, 1992.				
NP	XR	"Summit Refutes LaserSight's Assertions", Press Release by Summit Technology, Waltham, Massachusetts, November 17, 1992.				
NP	YR	LaserSight Press Release of November 18, 1992.				
NP	ZR	"LaserSight Denies Device Patent Infringement - Debuts Auto-PRK at ASCRS Convention", Press Release by LaserSight Technologies, Inc, March 31, 1995.				
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M. Pelly

Date Considered:

9/29/99

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.